



**UNIDIR**

## Examining shared regional understandings to enhance cooperation and strengthen end use/r control systems to prevent arms diversion

6-7 October 2016, Room 7, United Nations Office at Nairobi (UNON), Kenya

### AGENDA

#### DAY 1 – Thursday 6 October 2016

| Time          | Activity   | Speakers/Presenters                           |
|---------------|--|---|
| 09:00 - 09:30 | <b>Session 1: Opening &amp; Welcoming Session</b>  | - UNIDIR Presentation                         |
| 09:30 - 10:30 | <p><b>Session 2: UNIDIR End Use/r Control (EUC) systems project (Phase I and II)</b></p> <p>Introduction to the rationale and expected outcomes of UNIDIR's EUC systems project (2015–2016), including:</p> <ul style="list-style-type: none"> <li>• Presentation of the project objectives;</li> <li>• Introduction of regional consultative meeting briefing paper.</li> </ul> <p>The guiding question for this session, and the meeting overall, is:</p> <p><i>2.1 How can this project support African States in enhancing shared understandings and cooperation to strengthen their national end use/r control systems in a practical manner in order to mitigate the risk of diversion to unauthorized end users and/or end uses?</i></p>  | - UNIDIR Presentation<br>- All participants   |
| 10:30 - 10:45 | <b>COFFEE BREAK</b>  |   |
| 10:45 - 12:30 | <p><b>Session 3: Mapping out practice and experience: Review of national practices on end use/r controls and experiences to prevent diversion</b></p> <p>Review and discussion of national systems and experiences in exercising end use/r control systems, including a group exercise on end use/r documentation and a discussion on the UNIDIR Survey.</p> <ul style="list-style-type: none"> <li>• Sharing of national experiences on diversion and measures taken to address related risks;</li> <li>• Sharing of national practices on end use/r controls;</li> <li>• Group exercise on end use/r control elements in documentation;</li> <li>• Group exercise to review UNIDIR survey.</li> </ul> <p>Questions to be covered in this session include:</p> <p><i>3.1 What are some of the diversion cases your State has experienced?</i></p> <p><i>3.2 What measures have been introduced at the national level to address the risk of diversion?</i></p> <p><i>3.3 Does your State prepare its own EUC or use EUC templates provided by</i></p> | - All participants<br><br>Moderated by UNIDIR |

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|               | <p><i>exporting States?</i></p> <p>3.4 <i>Does your State certify end use/r systems (EUS) prepared by / for non-governmental end users?</i></p> <p>3.5 <i>What are the key responsibilities for a national end use/r control system to regulate arms imports?</i></p> <p>3.6 <i>What are the key responsibilities for a national end use/r control system to regulate arms exports?</i></p> <p>3.7 <i>What are the key responsibilities for a national end use/r control system to regulate arms transit/transshipment?</i></p> <p>3.8 <i>Would your State be willing to undertake post-delivery cooperation with an exporting or importing State?</i></p>  |   |
| 12:30 - 13:30 | <b>LUNCH BREAK</b>  |   |
| 13:30 - 15:00 | <b>Session 3 (continued)</b>  | - All participants<br><br>Moderated by UNIDIR |
| 15:00 - 15:15 | <b>COFFEE BREAK</b>   |   |
| 15:15 - 17:00 | <p><b>Session 4: Regional discussion on key components of end use/r control and UNIDIR survey results</b></p> <p>The session will explore key components of end use/r controls, as defined by international and regional frameworks and regimes, and discuss their applicability and current practices in Africa. This session will cover the following end use/r control components:</p> <ul style="list-style-type: none"> <li>• Definition of key terms;</li> <li>• Role and functions of end use/r controls;</li> <li>• Details of items, end use and end use/r to be provided to export control authorities;</li> <li>• Types of assurances to be provided by the end user/importer;</li> <li>• Exchange of information and indicators for risk assessment; and</li> <li>• Post-delivery cooperation.</li> </ul> <p>Discussions will be held on each end use/r control component. Participants are encouraged to exchange views and experiences.</p> | UNIDIR Presentation<br><br>-All participants  |
| 17:00         | <b>END OF DAY 1</b>   |   |

## DAY 2 – Friday 7 October 2016

| Time | Activity | Presenters |
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| 09:00 - 10:30 | <p><b>Session 5: Examining options to strengthen end use/r control in Africa</b></p> <p>In this session, participants will take part in a group exercise to examine options to strengthen end use/r controls in the African region, based on the questions listed below:</p> <p><i>5.1 Can existing end use/r control definitions developed by international and regional organizations and export control regimes serve as the basis for harmonization efforts in the African region?</i></p> <p><i>5.2 In the African region, is it feasible and desirable to agree on ‘common minimum elements’ regarding the level of detail for items, end users and relevant entities involved in a given transfer which would then be exchanged between relevant authorities in the importing and exporting States (e.g. the development of standardized end user certificate)?</i></p> <p><i>5.3 How can roles and responsibilities of national entities responsible for end use/r control be enhanced?</i></p> <p><i>5.4 Is the exchange of lessons learned and information on risk assessment procedures and verification in the African region useful? Is it feasible?</i></p> <p><i>5.5 Should guidance be developed for assisting risk assessments on diversion?</i></p> <p><i>5.6 Are ‘post-delivery controls’ and ‘post-delivery monitoring’ useful for preventing diversion in the African region?</i></p> <p><i>5.7 What measures could be undertaken to help build trust between exporting and importing States?</i></p> | <p>- All participants</p> <p>Moderated by UNIDIR</p>   |
| 10:30 - 10:45 | <b>COFFEE BREAK</b>  |  |
| 10:45 - 12:00 | <b>Session 5 (continued)</b>   | <p>- All participants</p> <p>Moderated by UNIDIR</p>   |
| 12:00 – 12:30 | <p><b>Session 6: Identification of possible avenues</b></p> <p>This session will explore the various approaches undertaken by regional organizations and international instruments around the world in an effort to strengthen end use/r control systems, including approaches from ECOWAS, OSCE and the ATT.</p> <p>The session will cover existing approaches, followed by a group discussion which will address the following questions:</p> <p><i>6.1 Is there a need for international guidelines on the roles and functions of end use/r documentation for all relevant stakeholders involved in the regulation of the international arms trade?</i></p> <p><i>6.2 What existing processes – e.g. UN, ATT or regional – could be utilized to facilitate international dialogue to strengthen end use/r controls?</i></p> <p><i>6.3 Are the key elements defined in existing best practice guidelines useful for strengthening end use/r controls in the African region?</i></p> <p><i>6.4 Are there regional frameworks/instruments in the African region that could support the development of best practice guidelines for end-use / r controls in the African region?</i></p> <p><i>6.5 What are the challenges for establishing a regional instrument or guidelines for an effective national end use/r control system?</i></p>  | <p>- UNIDIR Presentation</p> <p>- All participants</p> |
| 12:30 - 13:30 | <b>LUNCH BREAK</b>   |  |
| 13:30 - 15:00 | <b>Session 6 (continued)</b>   |  |

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| 15:00 - 15:15 | <b>COFFEE BREAK</b>  |                       |
| 15:15 - 16:00 | <p><b>Session 7: Validation of possible options, avenues and recommendation for the African region</b></p> <p>This session will aim to validate the consolidated list of options, avenues and recommendation identified in Day 1 and in Day 2's Session 6.</p> | - All participants    |
| 16:00 - 16:30 | <b>Session 8: Closing</b>  | - UNIDIR Presentation |
| 16:30         | <b>END OF MEETING</b>  |                       |