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UNIDIR

Tackling Diversion (Phase II): Promoting regional dialogue to enhance shared understanding and cooperation to strengthen end use/r control systems

Examining common regional understanding to strengthen
end use/r control systems to prevent arms diversion

1-2 March 2017
Conference Room C+D, United Nations Conference Centre (UNCC), Bangkok, Thailand

<u>AGENDA</u>

DAY 1 – Wednesday 1 March 2017

Time	Activity
09:00 - 09:30	**Session 1: Opening & Welcoming Session**
09:30 - 11:00	**Session 2: UNIDIR EUC systems project (Phase I and II)** Introduction of the rationale and expected outcomes of UNIDIR's End use/r control systems project (2015–2017), including: • Presentation of key findings from project Phase I (2015); • Presentation of the main elements for an effective end use/r control system and options for strengthening controls and cooperation at the international level. Introduction of the regional consultative meeting briefing paper and an outline of expected outcomes and outputs of the meeting. The guiding question for this session, and the meeting overall, is: *2.1 How can Asian States enhance shared understandings and cooperation to strengthen their national end use/r control systems in a practical manner in order to mitigate the risk of diversion of unauthorized end users and/or end uses?*
11:00 - 11:15	**COFFEE BREAK**
11:15 - 13:00	**Session 3: Mapping national control systems** Review and discussion of national control systems and experiences in exercising end use/r control systems. Questions to be covered in this session include: *3.1 Does your State prepare its own EUC or use EUC templates provided by exporting States?* *3.2 Does your State certify End User Statements prepared by / for non-State end users?* *3.3. What are the key responsibilities for a national end use/r control system to regulate: (a) arms imports; (b) exports; (c) transit; and (d) transshipment?* *3.4 How could the roles and responsibilities of national entities responsible for end use/r control be*

	enhanced?
	3.5 Would your State be willing to undertake post-delivery cooperation with an exporting or importing State?
13:00 - 14:30	**LUNCH BREAK** Public Foyer, G Floor, UNCC
14:30 - 15:30	**Session 3 (continuation)**
15:30 - 15:45	**COFFEE BREAK**
15:45 - 17:30	**Session 4: Existing regional/international frameworks, instruments and approaches** Introduction of the various best practice guidelines developed by regional organizations and export control regimes to define key elements for an effective end use/r control system. The session will consider the utility of instruments and guidelines for strengthening end use/r controls and enhancing cooperation in the Asian region in the following areas: • Definition of key terms; • Details of items, end use and end user to be provided to export control authorities; • Types of assurances to be provided by the end user/importer; • Role and functions of end use/r documentation. • Exchange of information and indicators for risk assessment; and • Post-delivery cooperation. Questions to be covered in this session include: *4.1 What could be done at the regional level to strengthen end use/r controls?* *4.2 Which regional frameworks or instruments could support the development of best practice guidelines or common minimum standards for end-use/r controls?* *4.3 Can existing end use/r control definitions developed by international and regional organizations and export control regimes serve as the basis for harmonization efforts?* *4.4 Is it feasible and desirable to agree on 'common minimum elements' of details of items, end user and relevant entities involved in the transfer to be exchanged between the relevant entities in the importing and exporting States (e.g. the development of standardized end user certificate)?* *4.5 Is exchanging lessons learned and sources of information for risk assessment procedures and verification useful? Is it feasible?* *4.6 Should guidance be developed for assisting risk assessments on diversion?* *4.7 Could 'post-delivery cooperation' be useful for preventing diversion in the Asian region?*
17:30	**END OF MEETING DAY 1**
18:00	**RECEPTION HOSTED BY THE ROYAL THAI GOVERNMENT** Public Foyer, G Floor, UNCC

DAY 2 – Thursday 2 March 2017

Time	Activity
09:00 - 10:00	**Session 5: Review of Day 1** This session will present and discuss observations and findings from Day 1 with a view to consolidate common options, challenges and opportunities.
10:00 - 11:00	**Session 6: Discussions on possible options and avenues** This session will consider the potential options and avenues that can be taken to develop common understandings to strengthen end use/r control systems, including the potential for the development of regional guidelines.

	Questions to be covered in this session include: *6.1 What do States think should be done at the regional and/or international level to strengthen end use/r controls?* *6.2 What is the preferred forum to facilitate an international dialogue to strengthen end use/r controls - the UN POA, the ATT and/or a regional/sub-regional organization or instrument?* *6.3 What measures could be undertaken to help build trust between exporting and importing State on assurances?* *6.4 Is there a need for international guidelines on the roles and functions of end use/r documentation for all relevant stakeholders involved in the regulation of the international arms trade?* *6.5 Are there cases where 'post-delivery cooperation and information sharing' should be regarded as essential elements of end use/r controls – e.g. in cases where States need to cooperate with UN Sanctions Committees regarding arms imports?*
11:00 - 11:15	**COFFEE BREAK**
11:15 - 13:00	**Session 6 (continuation)**
13:00 - 14:00	**LUNCH BREAK** Public Foyer, G Floor, UNCC
14:00 - 15:00	**Session 7: Validation of possible options, avenues and recommendation for the region** This session will aim to validate the consolidated list of options, avenues and recommendation identified in the past two days of consultations.
15:00 - 15:15	**COFFEE BREAK**
15:15 - 15:30	**Session 8: Closing**
15:30	**END OF MEETING**