



Tackling Diversion (Phase II): Promoting regional dialogue to enhance shared understanding and cooperation to strengthen end use/r control systems

Examining common subregional understanding to strengthen end use/r control systems to prevent arms diversion

21-22 September 2016 in Port of Spain, Trinidad and Tobago

AGENDA

DAY 1 – Wednesday 21 September 2016

Time	Activity	Speakers/Presenters
09:00 - 09:30	Session 1: Opening & Welcoming Session	- UNIDIR - CARICOM IMPACS
09:30 - 11:00	<p>Session 2: UNIDIR EUC systems project (Phase I and II)</p> <p>Introduction of the rationale and expected outcomes of UNIDIR's EUC systems project (2015–2016), including:</p> <ul style="list-style-type: none"> • Presentation of key findings of project Phase I (2015); • Presentation of the main elements for an effective end use/r control system and options for strengthening controls and cooperation at the international level. <p>Introduction of regional consultative meeting briefing paper and outline of expected outcomes and outputs of the meeting.</p> <p>The guiding question for this session, and the meeting overall, is:</p> <p><i>2.1 How can Caribbean States enhance shared understandings and cooperation to strengthen their national end use/r control systems in a practical manner in order to mitigate the risk of diversion of unauthorized end uses and/or end uses?</i></p>	- UNIDIR Team - All participants
11:00 - 11:15	COFFEE BREAK	
11:15 - 13:00	<p>Session 3: Mapping out the evidence: Review/use of surveys and practical exercise</p> <p>Review and discussion of national systems and experiences in exercising and end use/r control systems, including discussion of the UNIDIR Survey.</p> <p>Questions to be covered in this session include:</p> <p><i>3.1 Does your State prepare its own EUC or use EUC templates provided by exporting States?</i></p> <p><i>3.2 Does your State certify EUS prepared by / for non-governmental end users?</i></p> <p><i>3.3. What are the key responsibilities for a national end use/r control system to regulate arms imports?</i></p>	- All participants Moderated by UNIDIR

	<p>3.4 <i>What are the key responsibilities for a national end use/r control system to regulate arms exports?</i></p> <p>3.5 <i>What are the key responsibilities for a national end use/r control system to regulate arms transit/transshipment?</i></p> <p>3.6 <i>Would your State be willing to undertake post-delivery cooperation with an exporting or importing State?</i></p>	
13:00 - 14:00	LUNCH BREAK	
14:00 - 15:00	Session 3 (continuation)	- All participants Moderated by UNIDIR
15:00 - 15:15	COFFEE BREAK	
15:15 - 17:00	<p>Session 4: Review of existing international/regional frameworks, instruments and approaches</p> <p>Introduction of the various best practice guidelines developed by regional organizations and export control regimes to define the key elements for an effective end use/r control system.</p> <p>The session will consider the utility of instruments and guidelines for strengthening end use/r controls in and enhancing cooperation in the Caribbean region in the following areas:</p> <ul style="list-style-type: none"> • Definition of key terms; • Details of items, end use and end use/r to be provided to export control authorities; • Types of assurances to be provided by the end user/importer; • Role and functions of end use/r documentation. • Exchange of information and indicators for risk assessment; and • Post-delivery cooperation. <p>Questions to be covered in this session include:</p> <p><i>4.1 Can existing end use/r control definitions developed by international and regional organizations and export control regimes serve as the basis for harmonization efforts?</i></p> <p><i>4.2 Is it feasible and desirable to agree on 'common minimum elements' of details of items, end user and relevant entities involved in the transfer to be exchanged between the relevant entities in the importing and exporting States (e.g. the development of standardized end user certificate)?</i></p> <p><i>4.3 What measures could be undertaken to help build trust between exporting and importing states?</i></p> <p><i>4.4 Is exchanging lessons learned and sources of information for risk assessment procedures and verification useful? Is it feasible?</i></p> <p><i>4.5 Should guidance be developed for assisting risk assessments on diversion?</i></p> <p><i>4.6 Are 'post-delivery controls' and 'post-delivery monitoring' more accurately identified as 'post-delivery cooperation and information sharing'?</i></p>	- UNIDIR Team - CARICOM IMPACS
17:00	END OF DAY 1	

DAY 2 – Thursday 22 September 2016

Time	Activity	Presenters
09:00 - 09:30	<p>Session 5: Review of Day 1 and consolidation of findings and observations</p> <p>This session will present and discuss observations and findings from day 1 with a view to consolidate common options, challenges and opportunities.</p>	<p>- All participants</p> <p>Moderated by UNIDIR</p>
09:30 - 11:00	<p>Session 6: Identification of possible options and avenues</p> <p>This session will be used to consider the potential options and avenues that can be taken to develop common understandings to strengthen end use/r control systems, including the potential for the development of regional guidelines.</p> <p>Questions to be covered in this session include:</p> <p><i>6.1 Is there a need for international guidelines on the roles and functions of end use/r documentation for all relevant stakeholders involved in the regulation of the international arms trade?</i></p> <p><i>6.2 What existing processes – e.g. UN, ATT or regional – could be utilized to facilitate international dialogue to strengthen end use/r controls?</i></p> <p><i>6.3 Are the key elements defined in existing best practice guidelines useful for strengthening end use/r controls in the Caribbean region?</i></p> <p><i>6.4 Are there regional frameworks/instruments in the Caribbean region that could support the development of best practice guidelines for end-use / r controls in the Caribbean region?</i></p> <p><i>6.5 What are the challenges for establishing a regional instrument or guidelines for an effective national end use/r control system?</i></p>	<p>- All participants</p> <p>Moderated by UNIDIR</p>
11:00 - 11:15	COFFEE BREAK	
11:15 - 13:00	Session 6 (continuation)	<p>- All participants</p> <p>Moderated by UNIDIR</p>
13:00 - 14:00	LUNCH BREAK	
14:00 - 15:00	<p>Session 7: Validation of possible options, avenues and recommendation for the subregion</p> <p>This session will aim to validate the consolidated list of options, avenues and recommendation identified in day 1 and in session 6.</p>	<p>- UNIDIR Presentation</p> <p>- Discussion by all participants</p> <p>Moderated by CARICOM IMPACS</p>
15:00 - 15:15	COFFEE BREAK	
15:15 - 16:00	Session 8: Reporting on conventional arms transfer controls	- ATT BAP Team
16:00 - 16:30	Session 9: Closing	<p>- UNIDIR</p> <p>- CARICOM IMPACS</p>
16:30	END OF MEETING	